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For Value or for Worth? Part 2: A Neuroeconomical Thought-Action-Mood-Space Modulated by (Un-)Certainty as Sign- or Goal-tracking

Gottfried Reinhold Sebastian Treviranus

BipoSuisse, Independent Research, Vereinsweg 11, CH-3012 Berne, Switzerland *Corresponding Author: biposuisse@bluewin.ch

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Abstract Epistemiology requires trans-disciplinary logics for convergence. Here a logico-geometrically expanded cyclical version of the classical French temperamental and anxio-affective thought-action-moodmodel, "dyn4-TAM-cube", harboring Appropriation Waves (AWs), encounters an effort-related node of present neuro-economical debates: the cyclical relation between "value" and "worth". Accordingly, as a fundamental of the brain, this essay's second part continues to explore the alternation between symbolic frontal 4-dimensional (Halford) processing ("4D- Thought"), and high-dimensional parietal (Rizzolatti) intel- lectual intuition ("5D+-Action"), as balanced according to Richard Sorrentino's prime motivator trait (Un-)Certainty Orientation ("UO-versus-CO") interacting with "Mood". The two mentally processed transitions between these low- and high-dimensional domains, "4D-T~" and "5D+-A~", are complexity-reductive Perception $P{A \rightarrow T}$ and -expan- sionist Intention $(I{T \rightarrow A})$, from which two kinds of lear- ning feed into "4D-T~" for decision. Specifically in early AW the not just predictive, but *incentive* among Pavlovian cues putatively promises discounts in effort which foster intentions for worth-appropriative moves: $I{T \rightarrow A}$. As "UO-versus-CO" to date seems homologous to the more sign- or more goal-tracking dimension ("ST-versus-GT") in rats. Since ST is controlled by the thalamic paraventricular nuclei and GT e.g. by the hippocampus, putative intruding mast cells might cause the depressive reversals in orientation, establishing mast cells as bio-economical agents.

Keywords (Un-)Certainty Orientation, Sign-tracking, Goal-tracking, Computational Psychiatry, Relational Complexity, Neuro-economics, Prediction Error, Cortico-subcortico-thalamo-cortical Circuits, Mast Cells, Mixed Bipolar Disorder

1. Introduction

The second part of this essay [2] proposing a more integrated and developed classical framework related to temperamental and anxio-affective "appropriations" in recurrent sequential waves, and attracted by permutated states, "*dyn4*-**TAM**-cube", (see abbreviation codeⁱ), provides an encounter with currently rapidly unfolding convergent neuro-economics.

2. Interfacing 4DT~ and 5+DA~: "Value" and "Worth"

The opposite cognitive attitudes in facing unexplained complexity, which manifest as opposite human performances [1], in the dyn4-TAM-model [2] occur at the interfaces between low- and high-dimensional processing. The present proposal states, that in analogy to uncertainty-oriented humans (UOs), sign-tracker rats (STs), after engaging in the "perception of value" $P{A \rightarrow T}$, perform vigorously in exploring anticipated potential discounts in effort, and secondary advantages in exchange of values, and goal-tracker-rats (GTs) instead in the "intuitive intention of worthappropriative moves" $I{T \rightarrow A}$. Where the former sanguinics excel in reducing complexity, and thereby hopefully effort, into more sophisticated models of appropriation, the latter cholerics excel in keeping or expanding complexity. In order to substantiate this claim this convergent review aggregates more detailed structural analogies as a first step to hypothesis-testing.

Such temperamental variety, as other intra-group diversities [3], may favor fitness of groups and societies' welfare [4] and are at the core of conflict and cooperation.

Such value/worth-*dualism* also complies with the old stance, that the market *value* "exchanged (...) is the quantity

of *labor* (...) commonly taken in producing them." [5]. Labor in the individual appears as "*effort*" - as reflected in e.g. tonic extracellular striatal dopamine [6]. Thus abstract *value* represents production costs, which ultimately consist of e.g. human *effort*.

This *duality* appears as biologically hard-wired. The phylogenetically newer anterior lateral OFC processes such abstract (monetary) "*value*"-rewards [7,8], which *overcome subjective* effort as *incentive* appetite probably signaling the opportunity of *unusual bargain*, while appropriated "*worth*" results in anticipatable, *utility*- reflecting affective "hot-spots" [9]. Hereby even the temperamental shapes of "utility" curves seem to be accounted for, yet "value" and "worth" are commonly considered as interchangeable [10].

3. Dual vs. Mixed Motivational Updates

Reward prediction error (RPE) signals, which update about the reliability ("precision") of cues (for opportunities), were first discovered in midbrain dopamine (DA) neurons [8, 11-13], and then in additional sites including - for *punishment* - the habenulae [14], striatum – also in humans [15].

The scope-specific single-step updating via eligibilitytargeted plasticity [11] accordingly is not a backward modulation, albeit still connected to specific needs. In fact pairing a CS(1) first with US(a) and then with US(b) is equally effi- cient for appropriative action, but *blocks* learning from a new CS(2) about US(a)ⁱ. Rather than supporting an additional Konorskian general activation [16], such a *block*, deviating to another US(b), would allow to stick to an established joint cue about less effort in appropriating both.

A recent simulation [17] of sign-tracking (ST) underscores an antegrade capacity of the cue to reawaken a reward pertinent to a need, which then engages in a second, interre-lating process. The niche-dependent relative efficacy of goal- or sign-tracking is not considered and these "rewards' are added and not multiplied.

Only the reminder that appropriations are motivated by *two* processes [18], which in *dyn*4 occur at "Intention" and "Perception" interfacing between 4DT~ and 5+DA~ (Figure 1), which therefore ought not to be collapsed, seems to clarify several neuro-economical issues.

4. Disincentivizing at the Interfaces

Besides *cognitive efforts*, and many other intricacies of motivation [19], *motor efforts* impact future "rational" best-reward-for-least-effort-*choices and* subjective *evaluations* (like regret) through a *same network*. This correlates with *reward* and *inversely with effort* and involves SMA, itself corresponding to avoidance, and dorsal ACC's caudal portion for calculating *motor costs*. Not decision for action, but *seeking* of reward is activated by the vmPFCⁱ [20].

As *dissociated* appear the shape and site of the actiondevigorating impacts a) of expenditure of effort, which is lesionable at the ACC (in the cortico-subcortico-thalamo-cortical circuit, CSTC, for 5+DA) or by unilateral sites of mPFC in rats [21], and b) of delayed reward, which is lesionable at OFC (in the CSTC of Mood) [22]. While ACC and the anterior insula (aINS) perceive, $P{A \rightarrow T}$, efforts [23] from 5+DA, delays might allow worries about extra efforts (4DT), to dis-incentivize, less I{T → A}, at the ventral striatum and the vmPFC. At least for STs effort-related fatigue usefully prevents further losses by stopping exploration of tempting cues [24].



Figure 1. The cycle alternating 4D-Thought and 5+D-Action, as illustrated through the "Thinker" and "Marcher" by Auguste Rodin, hides Appropria- tive Waves (AWs). At its first transition of Perception of external and internal *feedbacks* from *effected change*, some signals become contingent reward-x-specific **cues**, A(cue(Rx)), inspiring the early AW. Some of these become *incentive* cues of a different kind, which hint to a learned *discount* in the *expected* appropriative *effort* (*F*). Intention *urges* for action when the expected cost, felt as effort (*F*), is expected to be more than matched by the *perceived* reduction of Need by reward Rx as 5+D-Aint(N-Rx).

Less effort as a negative reinforcement might provide a further "facilitation of a specific form of neural computation that results in conditioned approach behavior" also by mesolimbic dopamine, which here would *not* act as an anticipator of reward [25].

The principle of *least effort* also guides many decisions when building Intentions ($I(T \rightarrow A)$) to act. As a *loss-minimizing* strategy – under interacting conditions of exhaustion and scarcity [26] – it is computed at the frontal pole as *effort under risk* [27]. In *dyn4* cues instill "Hope" to *over- come* the effort (transition "e" in part 1, Figure 3). Maximal *own* expendable specific need-proportionate effort ("Effme") therefore remains a crucial ceiling parameter, and the inverse of "value to *me*".

While decisions localize to the OFC, foragers rapidly *adapt* their means or goals to changing surrounds through such a function of *possible maximal effort and attainment through least effort*, i.e. of "resources and opportunities." Herein the dorsal ACC [28] and the posterior cingulate cortex may at times signal the "pressure to pursue unlikely choices" – preferred by uncertainty-orientated **STs** [29].

5. Prediction of less Effort or of Reward

The ubiquitous "reward prediction error" (RPE) in most current accounts (but not in all experiments) could probably be smoothly replaced by a RPE *normalized* into RPE($-\Delta$ %Effme)ⁱ through multiplication with a ratio expressing the reward-specific *maximal expendable effort*, integrating past specific expenditures and regrets, and also the present "energetic state" [26], divided by the *presently anticipated*, at times negative, *discount*, as indicated by incentive cues.

The perception of the *cue* is temporally *closer to the evaluation of anticipated effort*, and therefore more easily associated with it, than the outcome in terms of *utility* ("worth"), apparent only after consumption. This *worth* divided by *recent effort* answers to the question "Was it *worth* the *effort*?" - risking *regret* [31] and updates the net RPE [32].

5.1. Common and Individual Economic Comparison

The need for comparison of rewards, often *without* a "common quality", calls for a ranking on a "common scale of values", on which the encoding of the RPE as "subjective value" is proposed as a, questionably, "ideal way" of steering economic decisions [31]. This "value" in *reality* though, as we can see, is a variable *composite* of *worth* (utility) and, at times incentive, *value* (effort), which is hidden behind of- ten dichotomic decisions. The variation of rewards in only one attribute is said *not* to allow the "isolation" of subjective preference - as far as sooner, more certain, and more should be preferred as better [31]. But beyond this we want what we like at *discounted* objective and subjective *effort*, thus the RPE changes to the residual RPE(- Δ %Effme).

The closing e.g. consummatory end of the AW perceives the feedback $P{A \rightarrow T}$ from 5+DA-led actions through evoked external and internal reactions, and activates *instrumental* learning. The update of RPE(- Δ %Effme) in 4DT~ instead learns from spared, or increased, momentaneously anticipated *effort*, not from outcome [8,11].

Accordingly ventral striatal neurons signal "reward" or rather RPE($-\Delta$ %Effme) before the rat's decision, the OFC only afterwards.

In market *economics* goal-specific objective effort, being the essential aversive variable related to appropriation, can be called "*value*", and sellers initially cash in on *unaccustomed* economies in effort since hereby they can buy "any" good representing *more effort* (value) with less effort, which on average holds true after transformation into subjective residual value represented by RPE(- Δ %Effme). In *dyn*4 this momentaneously advantageous (positive) reduction of *value* figures as perception of a resource-saving cumulative *1-D-index* of net appropriative experience relevant to the early **AW**-module **W**orry-T~/a~/m~. The dynamics of actions and the individual utility of things and services ("worth") instead attain to (5+D-)Action.

That such acquired evaluative neo-Pavlovian "reflexes" obey to a *ceiling of effort* may find support in the resurrection

of memory and learning in the study of primary sensory cortices [31]. It also seems even compatible with the various *trait-like marginal utility curves* [31] generated from "rational choice" via the unlikely "definition of value" as that from which "one can't get enough of" [11] in the pure monetary form. Nor anything formally scrunches thereafter when "utility is taken to be correlative to (...) want" - or by *collapse* with "worth" - what "a person is willing to pay" for satisfaction [33], in some other currency. Finally, since **UO**s, and presumably **ST**s, are *attracted by the unknowns of computable risk* calling for modeled discounts in effort, they can be expected to enjoy the pure unsaturable monetary shape, of which imponderable worths only cause impure inflections.

5.2. The Divisionary Focus of Habits

This *dualism* of processing styles, here reflected through "UC-CO-orientation", is primarily *non*-habitual and thus different from the two "systems": either "quick, intuitive, and effortless" or innovative "slow, analytical, and deliberate" – thereby "overcoming intuition." [34]. In *dyn*4 these map to, at first *non*-habitual, *intuitive* ways of 5+DA and to more conscious symbolic processing in 4DT, often struggling with what it perceives (A \rightarrow T) of the complexity of 5+D-Action and reaction.

Such *model-based learning* (**MBL**) besides the testing of hypotheses by relational thinking nevertheless also involves more Gestaltian [35] *4D-pattern* searches, than even intractably costly searches through trees [36].

5.3. When Scare Prefers Grasp over Model

Only the dorsal ACC and the aINS [37] related to 4DT~ and M~, not the parietal cortex for 5+DA, harbor the highly intuitive rapid Von Economo-spindle cells [38], which might have a role in the reductionist grasping "Thought-about-Action" I{T→A} that provides *newly* adaptive fast-andfrugal heuristics. Such prove successful under both habitual and erratic circumstances [39] and are triggered by often just one cue providing "model-free" learning (MFL). Unexplained adverse complexity via input from locus coeruleus can block experienced model-based functioning in the ACC in favor of such *erratic* behavior, whereby, at times "naturally" simple-minded CO-actors [1] become as unpredictable as their surrounds.

5.4. When Modeling Becomes Useful

Yet when routines stop working, **UO**s are needed for their renewal. Then even the *liking* system adapts: once cake is lacking you'll like bread! (Un-)Certainty-orientation theory [1] shows, that the related affective valences (M~) cannot be taken for granted, but reflects a stable *cognitive trait*.

The Uncertainty- oriented (**UOs**) are enabled to maintain the effort required for the conceptual reduction of the complexity of reality by their higher threshold for *cogniti*- *ve-effort*-related dysphoria, and by their, at times even *high* intellectual pleasure, Flow [41] denoting the process from Bliss to Interest in dyn4.

The Certainty-oriented (**CO**s), being unblessed by opportunity-optimizing modeling tasks, instead use rapid reductive "chunking" into essentially *simple commands*, to govern, intrinsically complex (!), habits.

While *lack of mental flexibility* can lead to apparent **CO**, this usually comes with some loss of intelligence, which is *not* a feature of **CO**s [1], but rather due to disturbances at the medio-dorsal thalamus [42]. The focus on such cognitive efforts presently rejuvenates psychiatry [43, 44].

5.5. Collapsing Duality by Arithmetical Lumping

One account of the **ST**-problem [36] avoids this double character, or "disunity" [45] of "value" or "worth", by proposing a *halfway mixed* dual system, whereby the **ST**s are judged by the standards of the cage to be underperforming. **MFL** through sequential "hot-or-cold"-attempts, here represents "*both* habits and incentive salience" of Pavlovian "reflexes", while tree-comprehensive permutational, alias model-based, *4D*-**T**-learning (**MBL**) supposedly relates "to goal-directed valuation, be it instrumentally or in Pavlovian settings". This commixture, ensuing from a crude reduction of intentional planning, cannot represent habits as entrenchment of instrumental learning nor decide the issue.

5.6. Mood Interacts with Attitude towards the Not-yet-explained

When it comes to positive, or negative, *affect*, what is interesting therefore is, that in the **COs** the valence of M~ decreases with increasing 4DT~, whereas the **UOs** enjoy intellectual adventure proportionally [1]. Actually M~ *interacts* with (Un)-Certainty-orientation: while **UOs** or **COs** are defined under the premises of a longing for "maxi- mal achievement", under the clinically depressive premises of a *minimization of further losses*, the two extreme types, at a certain point of prudence, switch into their mirror cognitive style [46, p.6]. Rats who have lost goals [47], or which know them for longer [48], switch from **GT** to **ST**, and certain "animals shift their preference from stable to variable food sources under (...) increased physical effort or falling energetic(s)."[26]. Furthermore both orientations *gain with high spirits*, which steepen their opposed regressions.

Yet the *yet-to-be-explaineds* in foraging become dramatic only on arid, not on lush meadows, so at the end of despair **COs**, after *transient* **UOs**-ness, would become **COs** again, sticking to live-saving solutions. Yet goal-tracking rats (**GTs**) with empty pots would start searching farther away than desperate **STs**.

Teasing through contextual unknowns increases **ST** further [50-52], maybe because then cues signal lesser efforts unreliably [53]. Tracking-attitude may also depend on *status*, which is partly inborn: Dominant members often eat first and submissive members are more successful if they use

innovative hypotheses, i.e. if they look more out for cues, than for the food proper, since in the first case they may eat unnoticed by the dominants, while in the second case, they may end up only knowing where the food is others eat.

5.7. Hiding Circularity

The *circular* account is obviously lacking in the recent Bayesian "Active Inference" model, which *seemingly solved circular explanations* of "reward", whereas this circularity just mirrors the essence of **AWs** informed by attributes of homeostasis or growth and as such *should not* be solved. The model in fact makes *unpredictability*, *precision and salience collapse* into "Active Inference" or what midbrain DA supposedly codes for, conveying how (active) "perception minimizes exteroceptive prediction errors and action minimizes proprioceptive prediction errors." [54]. The model in fact reflects the again truly marvelous discovery, that certain dopamine neurons under conditioned stimuli proportionally code for *unpredictability* of reward [55], but *unpredictability* unduly replaced reward and salience, whereas reality is more complex [13].

At least in the cortex response variability furthermore seems not be a solid foundation, since any stimulus causes its decline [56]. Albeit *unpredictability, precision* and *salience* determine the *value* in finance industry, they do not account for all facts in the life of rats.

6. Mast Cells, Histamine, and Thalamus

Mast cells (MCs) enter the brain during development, and these cells are replenished [57] or augmented by additional MCs which rapidly immigrate upon signals, which reflect social events, germs, drugs or physical changes [58] or, why not, sexual rubbing. Cerebral MCs are usually found to be scarce, yet most densely present at the thalamus, the habenulae, the olfactory bulb, and within the meninges. Via the braking habenulo-mesencephalic loops [59] MCs guard the blood-brain barrier [60,61] or trans-granulate into neurons [62], and thus probably modulate incentives.

The *perivascular access* to the parenchyma of these sites is wide open in the subcortical [63], but obliterated in the cortical locations. The induction of MC degranulation in the *thalamus* of rats caused excitation (70% in females, 11% in males), or inhibition (7% in females, 33% in males) of thalamic neurons [64]. Positive affect accompanies the behavioral invigoration triggered by MCs under several social circumstances, e.g. during courtship [65] in the medial habenulae. Female rats after cohabitation increase thalamic MCs within the medial geniculate and four other thalamic nuclei [66], whereas in mice not thalamic, but meningeal degranulation of MCs correlates with wakefulness and stimulatory tone in the CNS [67].

6.1. Mast Cells and Thalamo-frontal Driving Feedbacks

Dominant *thalamo-frontal* influences have been recognized in several domains [68-70]. These occur within the largely *segregated*, and thus dimensionally orthogonal, CSTCs [71], wherein cortical inputs to the basal ganglia are conveyed back to the cortex via the thalamus. Several of the many MC mediators [72] and effects could plausibly modulate the thalamus. While within the CSTCs the striatum is driven through glutamate by plentiful excitatory input from the PFC, and by thalamo-striatal connections, MCs intriguingly only potentiate excitotoxicity via histamine (HA) [73], but are not glutamate-releasers, while requiring it for degranulation [74].

Nevertheless HA, commonly of MC origin, selectively *potentiates* N-methyl-d-aspartate receptors (NMDARs¹) allosterically on a magnesium-sensitive NR2B¹-site [75] also involved in hallucinogenicity [76]. Such an increase of *glu-tamatergic* activity could plausibly impact on the functionning of CSTCs. While brain HA was normal in mice deficient in MCs, HA in rats was shown to stem from MCs up to 90% in the thalamus and to half in the brain [77]. The latter findings presumably also reflect a more activated state of MCs, wherein large amounts are secreted. Rat cerebral MCs were nearly all thalamic and specifically found in three areas and in the *sign-tracking-related* paraventricular nucleus of thalamus (PVT) [78].

6.2. Mast Cells as Agents with Destination and Destiny

It is tempting to investigate, wither cohorts of MCs, after peripheral priming of *destination and destiny*, would often migrate to the brain, where they would lastingly influence subcortical and cortical modules. In the striatum they interact with *perivascular* nerves, which are the fastest *first responders for midbrain DA* [79], or with cells of the neuro-vascular unit. Thereby physiological inflammatory processes could be pushed beyond temperament to "affective temperaments" [80], anxio-phobo-affective diagnoses or to soft, yet often deteriorating, bipolar mixed states [81].

Specifically the depressive switch into opposite tracking or (Un-)Certainty [1,46] mode could be modulated by MCs primed to cause "depression" (instead of hypomanic lesional "vigor") when reaching the PVT, via the thalamo-perforate and thalamo-geniculate arteries.

The PVT in any case achieves its importance for *cue-re-ward pairing* through its broad subcortical and prelimbic cortical afferents and glutamergic efferents [82].

7. Scopes and Outlooks

The achievement of *logically expressed* convergent highquality research is a daunting task, especially if practical *clinical utility* remains a goal. Huge global projects like the Research Domain Criteria (RDoC) initiative are under way [83], which astonishingly removed movement from the classical triad [84]. Furthermore especially the understanding of intrinsically *dimensional* topics, e.g. of CSTCs providing *dimensional data*, seems relevantly hampered by the habitual avoidance of *direct* acquisitions of "unplugged" i. e. *intact* patterns, conservable e.g. through the Configural Frequency Analysis of Gustav Lienert [85, 86]. This intriguing neglect could be related to UC-orientation [87].

This essay, which takes advantage of the author's tiny context, strives to contribute a sketch of an anxio-affective framework for such convergence to epistemiology and clinical *talk* alike, *dyn4* being also progressively expanded to dyadic or family system relations. The essay focuses on an *Aristotelian* geometric *classically triadic dyn4*-T-A-M-model newly interpreted as contrast between private low-, and public high-*dimensional processing*. The comprehensive **AW**, as inscribed in the cube and attracted by its dichotomic permutations, apparently has not been proposed as the basic sequence of behavior before.

7.1. Triadic Models in Clinical Psychology

An entwined "adolescent" triadic model [88,89], which centered on maturing balances of self-control [90], had instead cut across the T-A-M-dimensions in a not comprehensively orthogonal way. It generated a three composite factor balance between a) a mainly subcortical cognitively (actually movingly) impulsive non-delaying approach driven by reward, stemming even from "risk taking" (Joy-t~A~M~), b) a prefrontal cognitively reflected overall control (T~), and, beyond "dual systems", c) an amygdaloid emotionally deranged avoidance (a~, m~). All the same e.g. it doesn't accomodate anygdalar salience or striatal expectancy [91] or the affective temperaments [92] well - nor the "inextricable" "interactive dexterity" emerging from studies, not allowed to be constrained into mechanistic orthogonality [93], albeit maybe just this would support the sought independence from valence, besides providing systematic complex predictions amenable to non-tautological falsification. Classically triadic instead is the influential associative, sensori-motor, and limbic tripartite division [59], albeit some sensations are low-dimensional.

7.2. Are Neuro-economics "Dual or Not"?

Intervening also into the present (neuro-)economical debate this essay calls for "circular" experiments avoiding undue "collapse" between low-dimensional "value"/effort and high-dimensional "worth"/utility. This is now strongly supported by the first localization of the positive human interactive "value-to-utility transformation" to the inversely activated dorsal anterior mid-cingulate cortex (dmPFC). The connectivity of this is positive with the probably uphill inferior frontal gyrus [94], related e.g. to perceptive "confidence" (certainty) [95], and negative with the probably downhill Nac providing intentional subjective "valutation". Within the OFC instead "value" seems stored behind, and "worth" in front [96]. Others shed doubt on the necessity of emotions as mediators of mesolimbic dopaminergic effects e.g. on feeding, but explore a more abstract "facilitation of a specific form of neural computation" [97], maybe an

expansion of complexity.

Impressive reviews of *transfer* [98,99] concede that despite guaranteed rewards, cues still work, whatever the "worth" [100], while others focus on "efforts" [101]. Importantly the "dual" arguments also apply when "worth" equals *inviolacity* [102].

By conceiving the *incentivity* of cues to be due to opportune *effort*-reducing and thus facilitating means signaled by the cue, their three [103] attributes – *attractivity* (for agent's attention or approach), *instigation* of effort to reach them, *energizing* of appropriation of reward – can be accounted for.

An *orthogonal* conceptionalization of the segregated albeit cortico-cortically linked CSTCs and their "intentional" function is maintained in some frameworks, at least for A~ and M~ [104], yet in a *collapsed* way avoiding T~. Also the "orthogonalization" between motricity and reward again avoids the duality of 4D-T~ and 5+D-A~ and just deals with 5+D-A~ and its first derivative: in fact more experimental distinction of MBL and MFL is being asked for [105].

7.3. Habits Are Not Always Rapid or the Primary Issue

Within the *dyn*4-framework, "models" are created in 4D-T~ with *cognitive effort*, but *incentivated* by the perspective of a variously [106, 107] tempting discount in *antici- pated* appropriative 5+D-A~-related mainly *motor effort*, as signaled by cues functioning in such a model.

Motor-related *habits* [108], albeit dopaminergically [109] crystallized, are *still complex* programs reformatted away from globus pallidus [110] through various processes, e.g. TGF β - [111] or NMDAR- [112] activity on striatal DA-neurons. The lack of the latter *glutamatergic* input slows down learning, social contacts and forced swimming, but *not effortful* performance [113].

Some neuro-economically engaged clinicians say themselves not yet content [114] with their differently dual complexity **MBL/MBF**-approach contrasting "more complex", "goal-directed" **MBL**-based behavior with alternative habitual **MFL**-based decision making [115]. They e.g. showed that rises in ventral striatal (VS) DA correlate with **MBL**-related "signatures" in dlPFC and inversely with **MFL**-related encoding in VS [115] - both being conceived as 4D-**T**~ in dyn4. Their alternative habitual **MBL**-pro- cessing instead as such in dyn4 would remain "complex" as related to 5+D-**A**~-Action, despite requiring less 4D-**T**~ re- lated conscious steering or being shielded from outcomeperceiving feedback. This delayed habitual reformatting by repetition may actually *detract* from the problems collapsed neureconomics encounter in mental care.

The sufficient checking of a purportedly rapid less effortful intuitive system-1 (5+DA) by a more reflective system-2 in otherwise biased decisions, has been strongly complemented by a core role of even *less* intuitive numerical abilities (4DT) [116].

7.4. Neurobehavioral Complexity Changes and dyn4

Following dyn4 it would have to be explored wither the CSTCs involving the ACC assigned to 5+D-A really show a higher e.g. fractal dimensionality than the one involving the dlPFC mapped to 4D-T. In fact the dorsal ACC itself already produces *neuro-economical* [117] *reductive* models of con-flicting past and present experience [118] ready to feed decisions to be taken in dlPFC, linking contexts with *appropriative*, and therefore lastly *motor strategies* by producing a rich "task space" [119].

Since appropriation is the organizing principle in dyn4 motricity (5+DA) is in command of secondary parietal or primary motor areas. Similarly the CSTC involving OFC / vmPFC [120], and not the "limbic systems", represents Mood, as they master economical emotions [121] and integrate emotionally valenced "worth" to command appropriations [122].

The CSTCs themselves being feed-forward structures show an about 500-fold quantitative neuronal reduction in "complexity" between striatum and the pre-thalamic inhibiting output components. Of these the substantia nigra (SNr) e.g. may "gain control" over cortical feedback when sparing explorative efforts [123], braking "complicated" 5+DA. Ro- dent-primate homologies of CSTCs are many and also rela- ted to psy chiatric models [124].

The present dyn4-account also implies that the *alternating* coordination between 4D-T- and 5+D-A-processing is an enlightening prerequisite, beyond basic divergence and funneling, for any functional brain activity. This occurs within 4D-T and is often dealt with as top-down *attention*. Recent theoretical shifts towards considering the dorsal attention network (DAN) within the *fronto-parietal cortices* as a *common* substrate of "internal attention" sustaining as variegate functions as working memory, episodic retrieval of *percepts*, and *intentionally complex* mental imagery [125] supports this crudely mechanistic prediction on a high level of sophistication.

7.5. Effortful Controls of Thought, Action, Mood

We tend to fuse the concepts of *subjective* effort - the emotion of cost - as the felt *passive* brake on expenditure of resources, and again the *overcoming active* effort throughout the initiation and maintenance of effort-full processes. The steering of motor-costs is primordial, while the pleasuresystems and their hot-spots in evolution are small and marginal [126]. In humans though the costs of emotions, like the one from the urge of want, and their cognitive costs can become predominant. Thus, besides some focus on duration [127, 128], mainly the cost of *suppressing* emotions is monitored [129]. Fortunately *affect dynamics* are taking momen- tum also in the case of a likely alias of increased *emotional effort* suspected to be a pre-depressive signature of decoup- ling from usual functional connectivity: rigid *emotional inertia* [130].

These modules related to effort-ful appropriation have just been assembled in a formidable review [131]: The ventral striatum (VS) including the nucleus accumbens (NAc) activity likely is due to a momentaneous internal Perception of the opportune simple ratio of utility to effort, which continues when utility reaches a ceiling, helped by the midbrain. The VS invigorates appropriative action and the momentaneous changes in dopamine correlate with the willingness to work, which correlates with incentive cueing, even in absence of reward! Demanded high-effort choices, which need permission by the ACC, instead activates the amygdala, which seems to aid in encoding of relevance of the former inner and external Perceptions. It gives rise to urges, also in associative learning, whereby DA is released in the NAc, and the ACC is instructed to allow for high-effort expenditures. The amygdala overall acts as a conservative or investing expense controller in front of the temptations provided by the VS.

The *dorsal striatum* plans, decides, and automatizes *motor* behavior often into *habits*, while it also monitors internal *metabolic* and even external *nutritional* ressources. As a result it encodes specific energetic prerequisits for appropriation. Mice without DA instead die from aphagy, while hedonics and spatial learning of food remained intact. In *dyn*4 this preparation of Action corresponds to Intention. Within the dopaminergic midbrain the VTA and SNr interact with the striatum and thereby seem to provide the expected average *opportunity* on appropriation with the specific effort. Yet the amphetamine-sensitive emotional *drive*, as computed from the latter costs and delays combined with "subjective value" (*worth*) and the variable confidence in consequential Intentions, is provided by the vmPFC. The ultimate *decision* is taken around the intraparietal sulcus.

In *dyn*4 this corresponds to Interest or Worry leading via Application or Remediation to Pursuit [2, Table 1].

The supplementary motor areas (SMAs) monitoring *muscle* contraction interestingly feeds into subjective *effort*, while through preparedness it may invigorate response or maybe inversely spare effort.

7. 6. Momentaneous Fluctuations Could Confirm dyn4

This same review [131] then attracts attention to the meaningful information hidden in the momentaneous *fluctuations* in cognitive and physical *effort*. Albeit the *speed-accuracy trade-off* is pervasive, it becomes hidden in the context of higher rewards, by which *both* increase. In psychiatry instead the new validated concentration deficit disorder (CDD), former ,,sluggish cognitive tempo", which has replaced most of ADHD-inattentive type, is *not* an executive disorder, but strangely reminiscent of a *coupled inertia* of $T\sim$, $A\sim$, and $M\sim$, related to depression and refraining from higher intensities in all three dimensions [132].

In *dyn*4 accurate *distances* are a result of 5+DA~, while 4DT~ is related to appropriations in a vage *future* and their *speed*. Reward-induced *invigoration* along the Appropria-

tion-axis "from Need to Pursuit" in fact causes a symmetric intensification in T~, A~ and M~. Through this analogy we start to consider coupled, usually skewed, *simple harmonic oscillators* (SHO as *a mass-on-a-spring* with (-k/m)·x = d^2x/dt^2 obeyed by sin(x) or cos(x)) as a biaxial [133], not mono-axial [134] model of "mood swings". Hereby the *above momentaneous fluctations* of T~, A~, and M~ are modeled, which putatively correspond to the three "affective" CSTCs. The CSTCs by virtue of their direct and (negative) indirect paths, in fact could be approximated as SHOs.

Silvain Tomkins modeling of emotions as *analogue amplifiers of intensity and its first derivative* over time with as prime role for muscle sensibility [135] here appears as very much to the point and compatible with *dyn*4.

7.7. Biopersonology and dyn4-TAM

While *dyn*4-TAM can probably be best mapped to a bioamine-centered model of personality e. g. by Richard Depue [136], rapidly-acting ketamine-related or cholinergic antidepressants have deviated attention from these systems (see 7.5.).

The specifically cholinergic molecular loss of function in **ST**s [137] points strongly to the fact that *cholinergic* systems support *anti-distractive* cognitive control, whilst also allowing for *attentive shifts* with reorientation to cues and cue-re- sponsive action [113], like approaching the goal!

Present psychological research on humans applies the concept of "ST-to-GT" [107] and could use cross-validating tests for "UC-to-CO" [1,46], while studying resistance to *temptation* or *effort* [106] would also test the here exposed hypothesis of homology.

7.8. Mast Cells at the Reins of Appropriation?

As to the own hypothesis, that the anatomical convergence of the three "affective" CSTCs at the *thalamus* might provide access especially for *mast cells* [138] intruding along the posterior arteries to modulate subcortical logistics, some few observations concur. Since **ST**s are high in ventral HC myoinositol, and hereby dopaminergically incentivize Nac in Pavlovian approach [139], putative roles both of hippocampal mast cells [140] and of lithium [141], inhibiting IMPase [142], emerge, which hint to how the convergent framework *dyn*4 could operate in affective disorders. In fact cues become *less* incentive under ketamine [143], the miracle antidepressant pro-drug which acts by upregulating AMPA-receptors [144], which happens to incite [145] or to calm mast cells [146], but does not affect midbrain DA [147].

The latest review on **ST**s [148] points also to the *lateral habenulae* (LHb) [149-151], and thereby, see below, also to *mast cells* (MCs), as a part of the food-cue-induced "motive circuit", and its rapid adaptions. Within a larger network [152] they help in attributing *salience* [153] to the point, that

the LHb *drives* the VTA and SNr during RPE [154]. The LHb specifically act as indirect [155,156] strong *inverse* modulators [153] of the DA of the midbrain's SEEKING system [157], and the playfulness of **ST**s is supported by the centrality of LHb for *social play* [158, 157]. Conversely LHb and the medial habenula (MHb) are sensitive in the non-depressed to present [159] or future *punishment* [160] up to *learned helplessness* [161, 162], produce *vegetative costs* of emotions [163], and *shrink* [164, 165] especially in bipolar depression. Drugs inhibiting LHb reverse resistant depression [166].

As to MCs [58] they rapidily intrude after psychosocial events as acute activators e.g. into the LHb after repeated defeats [167], and with parenthood [168] into the reinforcing MHb of which silencing is aversive [169]. Mastocytosis finally is depressiogenic [170].

8. Conclusions

An essay "takes things from many sides without comprehending it fully."[171], and as a vivid genre of troubled times it takes high risks to fall victim to its own boldness by loosening cognitive control. Furthermore Karl Jaspers justly warned: "Theorizing has an atmosphere of its own." [172]. Progress in fact mostly, but not entirely, occurs through painstaking continuity of endeavors critical in seeking better lives, and the Ann Kelley's saga, certainly testifies to this. In the commemorative volume to her and also by her lab, which added successes with the STs and GTs rats, John D. Salamone contributed insights into the central role of Nac in bringing about effort-related choices [173]. This would also explain the common failures of RPE to behave in schizophrenia as computational psychiatrist, which build around it, were hoping for [176], and in this their "orthogonal" tautological Bayesian relations, which are akin to any reciprocal falsify- cation couple between theory and hypothesis, will not be of any comfort. Till date highly erudite accounts on "cues" [177] can still make it without "effort", but the two lines of enquiry will not continue on parallel tracks with little convergence for long.

The conceptual skipping of Thought and Intention in the Perception-Action models, even in their most erudite form [178] remains puzzling (to me), while the intricacies of *relational* cognitive processing explore the limits of complexity of Thought [179] - till now without a factoring-in of the "UC-to-CO"-algorithm. The Intention to think, act, and feel is certainly enriched by their "economical" braking by *effort*, and the second response component in midbrain DA-neurons, which codes reward value as a "numeric, quantitative utility prediction error", [180] could be a predictor of *opportunity* of less effort, and not of utility (worth), since it starts early enough to prevent "confusion with unrewarded stimuli and objects."

Especially in the NAc DA participates in *effort*-based choices among often many opportunities in the surrounds of

the niche. Variability in active effort has been referred mostly to fluctuations in subjective anticipated effort [131]. Research on *emotional* effort is centered on the control or *suppression* of emotions, and maybe today research on the neuroeconomy of *confidence* [181] is most on track in this area, since confidence in a cheap simple heuristic model comes at a rarely relevant [39] price of error. Also *dyn4* knows the processes of **D**oubt or Confidence [2: Table 1] dealing with *opposite* interactions between model-ing-in-*4D***T** and **M**ood. The proposal that the incentivity of such cues are about *specific hope, that* the expected required effort will be discounted, and that Hope in *dyn4* is the passage from **N**eed-tam to Interest-TaM in *dyn4*, is compatible with positive psychotherapy using *incentive hope*.

Survival depends on movement, movement on motivation, and motivation on cost-benefit analyses of active effort expressed in passively anticipated effort? Optogenetics on *freely moving* rodents will soon tell us more about this [181]. The SMA's feeding of muscle *contraction* into subjective effort [129] reminds us of the *cutaneo-muscularly felt* emotion theory of Silvain S. Tomkins [135] or recalls oro-facial mimics of "li(c)king" [182] or the *clenching of teeth* to in- crease active effort, but it can't sustain the claim, that the felt quality of the "SEEKING" system model would allow to collapse the *duality* of learning in approach [157].

A recent assembly of research on circuits of positive emotions [184], to which this paper originally was submitted as an elaboration of a poster, confirmed, that the important, because extremely basic hypothesis of a reinforcement or reversal of the prime motivator (Un-)Certainty-orientation of Richard M. Sorrentino [1,46] by valenced emotion, which reveals a constituting evolutionary link between cognition and emotion, and the mast cells are just only starting to attract noticeable interest in the mainstream of neurosciences. Therefore pioneers in ST-research [126] and MC-research related to the brain [58], Rae Silver, now leader in circadian rhythms, not fully by chance are immediate neighbors in a monograph on motivation [185], and the links likely also run via "clocked" [186] and "clocking" [187] MCs in brain [58] and other tissue [188] in relation to bio-economic metabolism [189] and its central "subjective" variable effort [190].

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i Abbreviation codes:

Neuroanatomy, Neurochemistry: ACC Anterior cingulate cortex; aINS Anterior insula; CSTC: Cortico-striato-thalamo-cortical circuits; Nac Nucleus accumbens; OFC Orbitofrontal cortex; vmPFC ventromedial Pre-Frontal Cortex. PVT paraventricular nucleus of thalamus. MC Mast cell; HA Histamine; NMDAR *N*-methyl-D-aspartate receptor for glutamate with e.g. NR2B-subunits; SNr substantia nigra (basal ganglia).

^{2.} Psychology / Ethology: UOs Uncertainty-Oriented Individuals; COs Certainty-Oriented Individuals (Richard M. Sorrentino); UO-versus-CO Uncertainty versus Certainty "orientation"; GTs goal-trackers; GT goal-tracking; STs sign-trackers; ST sign-tracking.

CS conditioned stimulus; US unconditioned stimulus. MBL Model-based learning; MFL Model-free learning.

^{3.} Neuro-economics: Effme maximal expendable effort; RPE Reward Prediction Error; PE (Effme) RPE normalized to the present discount of previous maximum expendable effort.

^{4.} dyn4-TAM modeling. dyn4TAM modified classic mixed bipolar disorder model; T_{\sim} , 4DT symbolic 4-dimensional cognitive processing; 4D-Thought symbolic 4-dimensional cognitive processing; A_{\sim} , 5+DA intuitive 5- or higher-dimensional cognitive processing; M-D-Action intuitive 5- or positive valence; M_{\sim} dichotomic negative or positive valence; T/t, A/a, M/m dichotomic realizations of T, A, and M in triples. P{A \rightarrow T} Perception, i.e. transitions from 5+-Action to 4D-Thought; I{T}A} Intention, i.e. transitions from 4D-Thought to 5+-Action; AW, AWS Appropriation Wave; Appropriation Waves; SHO Simple Harmonic Oscillator; Worry-T~a~m~ Worry with much Thought, low Action and Mood.